

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

ADDRESS (number and street) ▼

1401 H STREET NW SUITE 1200

☐ Check if different than previously reported. (ACC)

WASHINGTON

DC

20005

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00105981

3. IS THIS REPORT

☐ NEW (N)

OR

☒ AMENDED (A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☒ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
08 01 2011

through

M M M / D D D / Y Y Y Y Y Y  
08 31 2011

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr Peter Gallary

Signature of Treasurer

Mr Peter Gallary

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
12 21 2011

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
08 / 01 / 2011 To: M M / D D / Y Y Y Y Y Y  
08 / 31 / 2011

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2011</span>		<span style="border: 1px solid black; padding: 2px;">527994.39</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">307186.49</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">14376.56</span>	<span style="border: 1px solid black; padding: 2px;">446008.49</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">321563.05</span>	<span style="border: 1px solid black; padding: 2px;">974002.88</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">47542.65</span>	<span style="border: 1px solid black; padding: 2px;">699982.48</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">274020.40</span>	<span style="border: 1px solid black; padding: 2px;">274020.40</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		0	1		2	0	1	1

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A).....	9374.64	406844.84
(ii) Unitemized .....	0.00	1455.44
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	9374.64	408300.28
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	5000.00	30000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	14374.64	438300.28
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	7500.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	1.92	208.21
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ►	14376.56	446008.49
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	14376.56	446008.49

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	47500.00	699500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	42.65	482.48
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	47542.65	699982.48
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	47542.65	699982.48

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	14374.64	438300.28
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	14374.64	438300.28
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	0.00

: 97 'A-G79 @G B9CI G'H9LH'F9 @H98 'HC'5 'F9DCFHŽG7 <98I @ 'CF' +H9A-N5HCB  
.

Form/Schedule: F3XA

Transaction ID :

Correcting a transaction that was reported on incorrect line. It was supposed to be recorded as a receipt from a Federal PAC instead of a refund of a contribution. This correction has now been made.

Form/Schedule:

Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

## **A. Mr. Dewitt Bowman**

Mailing Address 101 California St  
Ste 1600

City State Zip Code  
San Francisco CA 94111-6100

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Forward Funds

Trustee - Forward Funds

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 15 / 2011

Transaction ID : 10241362

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

## **B. Mrs. Mary Curran**

Mailing Address 875 Hilldale Ave

City State Zip Code  
Berkeley CA 94708-1319

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Forward Management LLC

Managing Director/General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 15 / 2011

Transaction ID : 10241363

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

## **C. Mr. Thomas Edwin Woodhouse**

Mailing Address 101 California St  
Ste 1600

City State Zip Code  
San Francisco CA 94111-6100

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

ReFlow Management, LLC

Shareholder

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 15 / 2011

Transaction ID : 10241364

Amount of Each Receipt this Period

750.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

2250.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. George U Sauter**

Mailing Address 100 Vanguard Blvd.

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vanguard Group, Inc.

Occupation

Chief Inv. Officer &amp; Managing Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 29 / 2011

Transaction ID : 10250619

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Mr. Michael S Miller**

Mailing Address Box 2600

City

Valley Forge

State

PA

Zip Code

19482-2600

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vanguard Group, Inc.

Occupation

Managing Director, Planning &amp; Dev. Gro

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 29 / 2011

Transaction ID : 10250620

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Mr. F. Gregory Ahern**

Mailing Address 1401 H Street NW

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Chief Public Communications Officer

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

650.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 29 / 2011

Transaction ID : 10250621

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

2050.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. Donald J Boteler**

Mailing Address 1401 H Street NW  
Suite 1200

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

VP - Operations & Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

727.20

Date of Receipt

08 / 29 / 2011

Transaction ID : 10250622

Amount of Each Receipt this Period

45.45

Full Name (Last, First, Middle Initial)

**B. Mr. Jim Hart**

Mailing Address 1401 H Street NW  
Suite 1200

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Political Affairs Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.44

Date of Receipt

08 / 29 / 2011

Transaction ID : 10250623

Amount of Each Receipt this Period

28.84

Full Name (Last, First, Middle Initial)

**C. Ms. Karrie McMillan**

Mailing Address 6411 Furlong Rd

City McLean State VA Zip Code 22101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

667.80

Date of Receipt

08 / 29 / 2011

Transaction ID : 10250624

Amount of Each Receipt this Period

38.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

112.75

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. Mike McNamee**

Mailing Address 1401 H Street NW  
Suite 1200

City State Zip Code  
Washington DC 20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Senior Director, Public Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

363.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 29 / 2011

Transaction ID : 10250625

Amount of Each Receipt this Period

22.73

Full Name (Last, First, Middle Initial)

**B. Mr. Paul S Stevens**

Mailing Address 630 South Fairfax St

City State Zip Code  
Alexandria VA 22314-3834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3030.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 29 / 2011

Transaction ID : 10250626

Amount of Each Receipt this Period

189.40

Full Name (Last, First, Middle Initial)

**C. Mr. James M Norris**

Mailing Address PO Box 2600

City State Zip Code  
Valley Forge PA 19482-2600

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vanguard Asset Management Limited

Occupation

Principal, International Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 25 / 2011

Transaction ID : 10250627

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

1212.13

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. Mortimer J Buckley III**

Mailing Address 100 Vanguard Blvd

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vanguard Group

Occupation

Managing Director, Retail Investor Gro

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 23 / 2011

Transaction ID : 10250629

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Ms. Kathleen C Gubanich**

Mailing Address 100 Vanguard Blvd.

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Vanguard Group, Inc.

Occupation

Managing Director, HR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 23 / 2011

Transaction ID : 10250630

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Ms. Heidi Stam**

Mailing Address 100 Vanguard Blvd.

City

Malvern

State

PA

Zip Code

19355-2331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Vanguard Group, Inc.

Occupation

Managing Director and General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 23 / 2011

Transaction ID : 10250631

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

3000.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. F. Gregory Ahern**

Mailing Address 1401 H Street NW

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Chief Public Communications Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286029

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Mr. Donald J Boteler**

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

VP - Operations &amp; Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

772.65

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286030

Amount of Each Receipt this Period

45.45

Full Name (Last, First, Middle Initial)

**C. Mr. Jim Hart**

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Political Affairs Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

490.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286031

Amount of Each Receipt this Period

28.84

**SUBTOTAL** of Receipts This Page (optional)..... ►

124.29

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

A. Ms. Karrie McMillan

Mailing Address 6411 Furlong Rd

City

McLean

State

VA

Zip Code

22101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

706.26

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286032

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

B. Mr. Mike McNamee

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Senior Director, Public Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

386.41

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286033

Amount of Each Receipt this Period

22.73

Full Name (Last, First, Middle Initial)

C. Mr. Paul S Stevens

Mailing Address 630 South Fairfax St

City

Alexandria

State

VA

Zip Code

22314-3834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3219.80

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 30 / 2011

Transaction ID : 10286034

Amount of Each Receipt this Period

189.40

SUBTOTAL of Receipts This Page (optional)..... ►

250.59

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 29  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. F. Gregory Ahern**

Mailing Address 1401 H Street NW

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Chief Public Communications Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

08 / 31 / 2011

**Transaction ID : 10286170**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Mr. Donald J Boteler**

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

VP - Operations & Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

818.10

Date of Receipt

08 / 31 / 2011

**Transaction ID : 10286171**

Amount of Each Receipt this Period

45.45

Full Name (Last, First, Middle Initial)

**C. Mr. Jim Hart**

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Political Affairs Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.12

Date of Receipt

08 / 31 / 2011

**Transaction ID : 10286172**

Amount of Each Receipt this Period

28.84

**SUBTOTAL** of Receipts This Page (optional)..... ►

124.29

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 29

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

A. Ms. Karrie McMillan

Mailing Address 6411 Furlong Rd

City

McLean

State

VA

Zip Code

22101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

744.72

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2011

Transaction ID : 10286173

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

B. Mr. Mike McNamee

Mailing Address 1401 H Street NW  
Suite 1200

City

Washington

State

DC

Zip Code

20005-2202

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

Senior Director, Public Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

409.14

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2011

Transaction ID : 10286174

Amount of Each Receipt this Period

22.73

Full Name (Last, First, Middle Initial)

C. Mr. Paul S Stevens

Mailing Address 630 South Fairfax St

City

Alexandria

State

VA

Zip Code

22314-3834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Investment Company Institute

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3409.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2011

Transaction ID : 10286175

Amount of Each Receipt this Period

189.40

SUBTOTAL of Receipts This Page (optional)..... ►

250.59

TOTAL This Period (last page this line number only)..... ►

9374.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 16 OF 29

☐ 11a ☐ 11b ☒ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

## **A. Vanguard Committee for Responsible Government**

Mailing Address 400 Devon Park Rd.

City State Zip Code  
Wayne PA 19087

FEC ID number of contributing  
federal political committee.

**C** C00410266

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

**08** / **11** / **2011**

**Transaction ID : 10221071**

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

## **B.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

## **C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

5000.00

5000.00



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 17 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Portman For Senate Committee**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

Mailing Address 9856 Archer Lane

City	State	Zip Code
Dublin	OH	43017

**Transaction ID : 10179470**

Purpose of Disbursement

011
Category/ Type

Amount of Each Disbursement this Period

1000.00
---------

Candidate Name

**Mr. Rob Portman**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: OH District:

Full Name (Last, First, Middle Initial)

**B. Scott Garrett For Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

Mailing Address P.O. Box 905

City	State	Zip Code
Newton	NJ	07860

**Transaction ID : 10179471**

Purpose of Disbursement

011
Category/ Type

Amount of Each Disbursement this Period

2500.00
---------

Candidate Name

**Rep. Scott Garrett**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: NJ District: 05

Full Name (Last, First, Middle Initial)

**C. Friends Of Erik Paulsen**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

Mailing Address P.O. Box 44369  
250 Prairie Center Drive

City	State	Zip Code
Eden Prairie	MN	55344

**Transaction ID : 10179472**

Purpose of Disbursement

011
Category/ Type

Amount of Each Disbursement this Period

2000.00
---------

Candidate Name

**Rep. Erik P. Paulsen**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: MN District: 03

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

5500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 19 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Kline For Congress**

Mailing Address 101 W Burnsville Pkwy Suite 104

City	State	Zip Code
Burnsville	MN	55337

Purpose of Disbursement

011

Candidate Name

**Rep. John P. Kline**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179476**

Amount of Each Disbursement this Period

500.00
--------

Full Name (Last, First, Middle Initial)

**B. Bill Nelson For U S Senate**

Mailing Address 972 W Whitmire Drive

City	State	Zip Code
Melbourne	FL	32935

Purpose of Disbursement

011

Candidate Name

**Sen. Bill Nelson**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: FL District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179477**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Hatch Election Committee Inc**

Mailing Address 175 South West Temple Suite 650

City	State	Zip Code
Salt Lake City	UT	84101

Purpose of Disbursement

011

Candidate Name

**Sen. Orrin G. Hatch**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: UT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179478**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 21 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Stivers For Congress**

Mailing Address 4679 Winterset Drive

City	State	Zip Code
Columbus	OH	43220

Purpose of Disbursement

011

Candidate Name

**Rep. Steve Stivers**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: OH District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179482**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Van Hollen For Congress**

Mailing Address 10537 St. Paul St.

City	State	Zip Code
Kensington	MD	20895

Purpose of Disbursement

011

Candidate Name

**Rep. Chris Van Hollen**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MD District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179483**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Tom Reed For Congress**

Mailing Address PO Box 450

City	State	Zip Code
Victor	NY	14564

Purpose of Disbursement

011

Candidate Name

**Rep. Tom Reed**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: NY District: 29

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179484**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
---------

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 22 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Duffy For Congress**

Mailing Address PO Box 538

City	State	Zip Code
Wausau	WI	54402

Purpose of Disbursement

Candidate Name

**Rep. Sean P. Duffy**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: WI District: 07

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179485**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Alamo PAC**Mailing Address 816 CONGRESS AVE SUITE 960  
FROST BANK PLAZA

City	State	Zip Code
AUSTIN	TX	78701

Purpose of Disbursement

Candidate Name

**Alamo PAC**

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179486**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Friends Of Sherrod Brown**

Mailing Address PO Box 76187

City	State	Zip Code
Washington	DC	20013

Purpose of Disbursement

Candidate Name

**Sen. Sherrod Brown**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

State: OH District:

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179487**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4500.00
---------

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 23 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Jim Renacci For Congress**

Mailing Address 150 Smokerise Drive

City Wadsworth	State OH	Zip Code 44281
-------------------	-------------	-------------------

Purpose of Disbursement

011

Candidate Name

**Rep. James B. Renacci**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: OH District: 16

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179488**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Wyden For Senate**

Mailing Address 232 Ne 9th Avenue

City Portland	State OR	Zip Code 97232
------------------	-------------	-------------------

Purpose of Disbursement

011

Candidate Name

**Sen. Ron Wyden**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: OR District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179489**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Snowe For Senate**

Mailing Address PO Box 1012

City Portland	State ME	Zip Code 04104
------------------	-------------	-------------------

Purpose of Disbursement

011

Candidate Name

**Sen. Olympia J. Snowe**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: ME District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		01		2011

**Transaction ID : 10179490**

Amount of Each Disbursement this Period

3000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

011

1000.00

☐ Primary ☒ General  
☐ Other (specify) ▼

MM / DD / YYYY

011

1000.00

☒ Primary ☐ General  
☐ Other (specify) ▼

011

Age Group	Percentage
18-24	10
25-34	15
35-44	20
45-54	25
55-64	30
65-74	35
75-84	40
85+	45

☒ Primary ☐ General  
Other (specify) ▼

**TOTAL** This Period (last page this line number only).....

3000.00



**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 25 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. New York Jobs PAC**

Mailing Address PO BOX 763

City	State	Zip Code
DEER PARK	NY	11729

Purpose of Disbursement

011

Candidate Name

**New York Jobs PAC**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		02		2011

**Transaction ID : 10180215**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. John Carney For Congress**

Mailing Address PO Box 2162

City	State	Zip Code
Wilmington	DE	19899

Purpose of Disbursement

011

Candidate Name

**Rep. John C. Carney Jr.**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: DE District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		08		2011

**Transaction ID : 10194242**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**C. John Carney For Congress**

Mailing Address PO Box 2162

City	State	Zip Code
Wilmington	DE	19899

Purpose of Disbursement

011

Candidate Name

**Rep. John C. Carney Jr.**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: DE District: 00

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		08		2011

**Transaction ID : 10194243**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 26 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Vern Buchanan For Congress**

Mailing Address P. O. Box 48928

City	State	Zip Code
Sarasota	FL	34230

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Vern Buchanan**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: FL District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194244**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Geoff Davis For Congress**

Mailing Address PO Box 17192

City	State	Zip Code
Ft Mitchell	KY	41017

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Geoff Davis**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: KY District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194245**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. Lucas For Congress**

Mailing Address PO Box 1726

City	State	Zip Code
Oklahoma City	OK	73101

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Frank D. Lucas**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: OK District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194247**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 27 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Montanans For Tester**

Mailing Address PO Box 1135

City	State	Zip Code
Helena	MT	59624

Purpose of Disbursement

011

Candidate Name

**Sen. Jon Tester**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		08		2011

**Transaction ID : 10194248**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Jobs, Economy & Budget Fund**

Mailing Address PO BOX 40385

City	State	Zip Code
WASHINGTON	DC	20016

Purpose of Disbursement

011

Candidate Name

**Jobs, Economy & Budget Fund**

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		08		2011

**Transaction ID : 10194250**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Dave Camp For Congress**Mailing Address 5915 Eastman Avenue  
Suite 100

City	State	Zip Code
Midland	MI	48640

Purpose of Disbursement

011

Candidate Name

**Rep. David Lee Camp**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MI District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		08		2011

**Transaction ID : 10194251**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Kenny Marchant For Congress**

Mailing Address PO Box 110187

City	State	Zip Code
Carrollton	TX	75011

Purpose of Disbursement

011

Candidate Name

**Rep. Kenneth Marchant**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: TX District: 24

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194252**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**B. Jim Himes For Congress**

Mailing Address 857 Post Road, #312

City	State	Zip Code
Fairfield	CT	06824

Purpose of Disbursement

011

Candidate Name

**Rep. James A. Himes**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: CT District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194253**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Friends Of Max Baucus**

Mailing Address PO Box 586

City	State	Zip Code
Helena	MT	59624

Purpose of Disbursement

011

Candidate Name

**Sen. Max Baucus**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	08	/	2011

**Transaction ID : 10194254**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 29 OF 29

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Carper For Senate**

Mailing Address 19 East Commons Blvd Second Floor

City	State	Zip Code
New Castle	DE	19720

Purpose of Disbursement

011

Candidate Name

**Sen. Thomas R. Carper**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2012

☐ Primary ☒ General  
☐ Other (specify) ▼

State: DE

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	10	/	2011

**Transaction ID : 10199668**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Hatch Election Committee Inc**

Mailing Address 175 South West Temple Suite 650

City	State	Zip Code
Salt Lake City	UT	84101

Purpose of Disbursement

011

Candidate Name

**Sen. Orrin G. Hatch**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2012

☐ Primary ☒ General  
☐ Other (specify) ▼

State: UT

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	29	/	2011

**Transaction ID : 10250632**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2000.00

47500.00